

UNITED STATES DISTRICT COURT DISTRICT  
DISTRICT OF MASSACHUSETTS  
EASTERN DIVISION

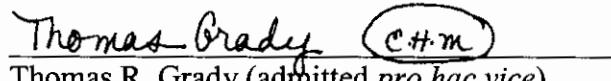
STEVEN G. WICKS,	)	
GERALD A. KALBFLEISCH, and	)	
MICHAEL and MYRTLE HATHAWAY	)	
	)	
Plaintiffs,	)	
	)	
v.	)	
	)	Civil Action No: 04-CV-10988 (GAO)
PUTNAM INVESTMENT	)	
MANAGEMENT, LLC, and	)	
	)	
PUTNAM RETAIL	)	
MANAGEMENT, LLP,	)	
	)	
Defendants	)	
	)	

**LOCAL RULE 16.1 (D)(3) CERTIFICATION**

Pursuant to Local Rule 16.1 (D)(3) the undersigned hereby certify that they have conferred: (a) with a view to establishing a budget for the costs of conducting the full course and various alternative courses -- of the litigation; and (b) have considered the resolution of the litigation through the use of alternative dispute resolution programs such as those outlined in Local Rule 16.4.

Dated: July 20 , 2005.

Respectfully submitted,

  
Thomas R. Grady (admitted *pro hac vice*)  
ACKERMAN, LINK & SARTORY, P.A.  
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Steven G. Wicks  
Steven G. Wicks

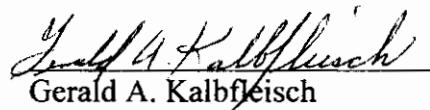
Gerald A. Kalbfleisch

Michael Hathaway

Myrtle Hathaway

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Steven G. Wicks

  
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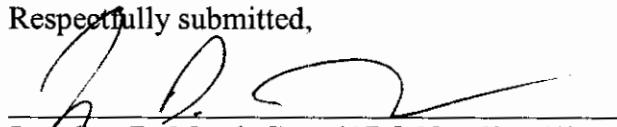
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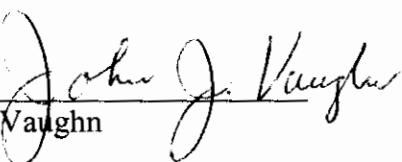
Dated: July 20 , 2005.

Respectfully submitted,

  
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